

Guideline for the Operation of Part 3 of the Mutual Recognition Legislation in Relation to Radiation User Licensing and Consulting Radiation Expert Accreditation under the Radiation Control Act 1990

Incorporating the Trans-Tasman Mutual Recognition Agreement

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#### 1. Introduction

Under the *Radiation Control Act 1990* (NSW), the NSW Environment Protection Authority (EPA) has the authority to issue licences for the use of regulated material (radioactive substances, ionising radiation apparatus, non-ionising radiation apparatus as prescribed by the regulations, and sealed source devices) in NSW.

The EPA acts as the 'local registration authority under both the *Mutual Recognition* (New South Wales) Act 1992 and the *Trans-Tasman Mutual Recognition* (New South Wales) Act 1996, for occupations that use radioactive substances or radiation apparatus in NSW.

Accordingly, a person who is registered in an equivalent occupation in another State, or in New Zealand, may be entitled to apply to the EPA, under the *Mutual Recognition (NSW) Act 1992)* or the *Trans-Tasman Mutual Recognition (New South Wales) Act 1996* respectively, for a licence to use regulated material so as to enable that person to carry on an equivalent occupation in NSW.

The local registration authority, has a duty under s39 (2) of the Mutual Recognition Act (and s38 (2) of the Trans-Tasman Mutual Recognition Act) to prepare and make available guidelines for the operation of Part 3 of the Mutual Recognition Act which deals with occupations that are subject to the licensing requirements of the Radiation Control Act.

### 2. Brief background to the mutual recognition legislation

The Mutual Recognition (New South Wales) Act was enacted in NSW as a result of an intergovernmental agreement between the Commonwealth and the States, and was signed in May 1992. The NSW Act applies the Commonwealth *Mutual Recognition Act* 1992 as a law of NSW.

The principal aim of mutual recognition legislation is to remove unnecessary barriers to the interstate trade of goods and the mobility of labour caused by regulatory differences among Australian States and Territories.

The Trans-Tasman Mutual Recognition (New South Wales) Act was enacted in NSW in 1996 to implement the Trans-Tasman Mutual Recognition Arrangement, signed by the Commonwealth and the States on 14 June 1996 and by New Zealand on 9 July 1996. The NSW Act applies the Commonwealth *Trans-Tasman Mutual Recognition Act* 1996 as a law of NSW.

The Explanatory Note to that Act indicates that the object of the 1996 Arrangement is to remove regulatory barriers to the movement of goods and service providers between Australia and New Zealand, and to thereby facilitate trade between the two countries. The 1996 Arrangement states that, as far as possible, the mutual recognition scheme contemplated by that Arrangement should be consistent with the scheme established by the 1992 Mutual Recognition Agreement. As a result, the terms of the *Trans-Tasman Mutual Recognition Act 1996* are based on, and are similar to, the *Mutual Recognition Act 1992*.

As these guidelines address the mutual recognition scheme operating under both Acts, any reference to 'jurisdiction' is to be read, as appropriate, as either a reference to participating States and Territories under the Mutual Recognition Act or as a reference

to New Zealand, the Commonwealth and any participating States and Territories under the Trans-Tasman Mutual Recognition Act.

Where particular sections of the Mutual Recognition Act are referred to in these guidelines, they are followed, in brackets, by the equivalent sections in the Trans-Tasman Mutual Recognition Act, accompanied by the abbreviation 'TTMR Act'.

## 3. Mutual recognition principle

The basic mutual recognition principle in relation to occupations is that if a person is registered to carry out an occupation in one participating jurisdiction, then s/he should be able to be registered and carry on the equivalent occupation in any other participating jurisdiction. In other words, if someone is assessed to be good enough to practise a profession or occupation in one participating jurisdiction, then s/he should be able to do so anywhere in Australia or New Zealand.

That principle, as specifically set out in section 17 (1) of the Mutual Recognition Act (section 16 (1) TTMR Act), is that, subject to Part 3 of the Act, a person who is registered in connection with an occupation in one jurisdiction (the 'first jurisdiction') is, after notifying the local registration authority for the equivalent occupation of another jurisdiction (the 'second jurisdiction'), entitled:

- (a) to be registered in the second jurisdiction for the equivalent occupation; and
- (b) pending such registration, to carry on the equivalent occupation in the second jurisdiction.

Section 17 (2) of the Mutual Recognition Act (section 16 (2) TTMR Act) states that the mutual recognition principle is subject to the exception that it does not affect the operation of laws that regulate the manner of carrying on an occupation in the second jurisdiction so long as those laws:

- (a) apply equally to all persons carrying on or seeking to carry on the occupation under the law of the second jurisdiction; and
- (b) are not based on the attainment or possession of some qualification or experience relating to fitness to carry on the occupation.

#### 4. Occupation and registration

Section 17 of the Mutual Recognition Act (section 16 TTMR Act) makes it clear that for entitlement to mutual recognition of an occupation, a person must be **registered** in an **occupation** in the first jurisdiction.

Under section 4 of the Mutual Recognition Act (section 4 TTMR Act):

**occupation** means an occupation, trade, profession or calling of any kind that may be carried on only by registered persons, where registration is wholly or partly dependent on the attainment or possession of some qualification (for example, training, education, examination, experience, character or being fit or proper), and includes a specialisation in any of the above in which registration may be granted.

**registration** includes the licensing, approval, admission, certification (including by way of practising certificates), or any other form of authorisation, of a person required by or under legislation for the carrying on of an occupation.

#### 5. Role of a local registration authority

Under section 4 of the Mutual Recognition Act (section 4 TTMR Act):

**local registration authority** of a State for an occupation means the person or authority in the State having the function conferred by legislation of registering persons in connection with their carrying on that occupation in the State.

The EPA acts as a local registration authority under the Mutual Recognition Act and the Trans-Tasman Mutual Recognition Act.

# 6. There may be other relevant local registration authorities

Section 18 of the Mutual Recognition Act (section 17 TTMR Act) recognises that an individual may be subject to more than one system of registration for a single occupation. That is, in order to carry on some occupations, a person may need to be registered (e.g. licensed or approved) by more than one body. If that is the case, each such body is a local registration authority and the individual would also need to be registered by each of these local registration authorities to carry on their occupation in NSW.

This means that the EPA might not be the only relevant local registration authority, to which a person may need to apply in order to be entitled to carry on a particular occupation. The EPA is entitled only to license persons for the use of regulated material. If a person requires some other form of registration (eg approval or practising certificate) then they are required to apply to the registration authority that has the function of granting such registration.

# 7. Radiation user licensing requirements

Under section 7 of the Radiation Control Act, it is an offence for a person to use regulated material without a user licence issued by the EPA. It is also an offence to use regulated material in contravention of the conditions on the user licence. Note that some users, for example medical registrars in training, or university students undertaking research, are exempt from the requirements to hold a user licence.

The effect of section 7 of the Radiation Control Act is that a person cannot lawfully carry out certain occupations in NSW, unless that person is licensed by the EPA to use the regulated material in question. This means that the EPA acts as a local registration authority to such occupations. Accordingly, a person who, as part of his/her occupation in another State, is registered to use regulated material is entitled to seek registration from the EPA under the Mutual Recognition Act for a licence to use that material so as to enable that person to carry on an equivalent occupation in NSW. In addition, a person who, as part of his/her occupation in New Zealand, is registered to use regulated material may apply to the EPA under the Trans-Tasman Mutual Recognition Act for a licence to use that material so as to enable that person to carry on an equivalent occupation in NSW.

There are many occupations that may require the use of regulated material under the Radiation Control Act. Such occupations may include:

- Chiropractor
- Dental Assistant

- Dental Hygienist
- Dentist
- Dermatologist
- General Medical Practitioner
- Industrial Radiographer
- Medical Diagnostic Radiographer
- Nuclear Medicine Physician
- Nuclear Medicine Technologist
- Nurse
- Ophthalmologist
- Radiation Oncologist
- Radiation Therapist
- Radiologist
- Scientist
- Service Engineer/Technician
- Veterinarian.

### 8. Consulting radiation expert accreditation requirements

Under section 8 of the Radiation Control Act the EPA is responsible for accrediting Consulting Radiation Experts. Accreditation is required from the EPA before a person can conduct any of the following activities in NSW:

- (a) advising on the design of premises, in relation to radiation safety requirements, on which regulated material is kept or used, for the purpose of certifying compliance with any conditions imposed on a radiation management licence;
- b) assessing plans for premises on which regulated material is kept or used, for the purpose of certifying compliance with any conditions imposed on a radiation management licence;
- (c) assessing any regulated material and the premises at which it is kept or used, for the purpose of certifying compliance with any conditions imposed on a radiation management licence;
- (d) assessing the integrity of any shielding of premises at which any regulated material is kept or used, for the purposes of certifying compliance with any conditions imposed on a radiation management licence.

Where a person is already accredited to perform some or all of these activities in another Australian State or Territory they may apply to the EPA under the Mutual Recognition Act to practice in NSW. Note that under *Radiation Control Act* Radiation Security Assessors are subject to the same accreditation requirements as Consulting Radiation Experts.

### 9. Entitlement to registration

A person who is registered in one jurisdiction is entitled to be registered in the equivalent occupation by the registration authority of a second jurisdiction by lodging a

notice under section 19 of the Mutual Recognition Act (section 18 of the TTMRA). Under section 20(1) of the Mutual Recognition Act (section 19(1) TTMRA), registration in the second jurisdiction is to be given as if the legislation that provides for registration in the second jurisdiction expressly includes a provision that registration in the first jurisdiction is sufficient grounds for registration in the second jurisdiction.

#### 10. Equivalence

Under the Mutual Recognition legislation, a person is entitled to register in a second jurisdiction provided that the occupation that the person is entitled to carry on in the first jurisdiction is equivalent to what that person is applying for in the second jurisdiction.

Under section 29 (1) of the Mutual Recognition Act (section 28 (1) TTMR Act), an occupation in the first jurisdiction is taken to be equivalent to an occupation in the second jurisdiction if the activities authorised to be carried out under both jurisdictions are substantially the same.

Even if occupations are not equivalent, they will be deemed to be equivalent if equivalence can be achieved by the imposition of conditions.

#### 11. How to apply – notification under the mutual recognition legislation

Section 19 of the Mutual Recognition Act (section 18 TTMR Act) sets out the procedure to be followed by a person who is registered for an occupation in another jurisdiction and who wishes to apply to the EPA for a licence to use regulated material in NSW.

In lodging an application under the Mutual Recognition Act, the procedure set out in section 19 (2) (section 18 (2) TTMR Act) must be followed. Section 19 of the Mutual Recognition Act is as follows:

- 19. (1) A person who is registered in the first State for an occupation may lodge a written notice with the local registration authority of the second State for the equivalent occupation, seeking registration for the equivalent occupation in accordance with the mutual recognition principle.
- (2) The notice must:
  - (a) state that the person is registered for the occupation in the first State and specify that State; and
  - (b) state the occupation for which registration is sought and that it is being sought in accordance with the mutual recognition principle;
  - (c) specify all the States in which the person has substantive registration for equivalent occupations; and
  - (d) state that the person is not the subject of disciplinary proceedings in any State (including any preliminary investigations or action that might lead to disciplinary proceedings) in relation to those occupations; and
  - (e) state that the person's registration in any State is not cancelled or currently suspended as a result of disciplinary action; and

- (f) state that the person is not otherwise personally prohibited from carrying on any such occupation in any State and is not subject to any special conditions in carrying on that occupation, as a result of criminal, civil or disciplinary proceedings in any State; and
- (g) specify any special conditions to which the person is subject in carrying on any such occupation in any State;
- (h) give consent to the making of inquiries of, and the exchange of information with, the authorities of any State regarding the person's activities in the relevant occupation or occupations or otherwise regarding matters relevant to the notice.
- (3) The notice must be accompanied by a document that is either the original or a copy of the instrument evidencing the person's existing registration (or, if there is no such instrument, by sufficient information to identify the person and the person's registration).
- (4) As regards the instrument evidencing the person's existing registration, the person must certify in the notice that the accompanying document is the original or a complete and accurate copy of the original.
- (5) The statements and other information in the notice must be verified by statutory declaration.
- (6) The local registration authority may permit the notice to be amended after it is lodged.

The EPA registration role is to allow only licensed persons to use regulated material in NSW. A person applying for a licence to use such items in NSW, under section 19 of the Mutual Recognition Act (section 18 TTMR Act), should provide evidence that the person holds a licence to use these substances and/or apparatus in the first iurisdiction.

An application lodged pursuant to section 19 of the Mutual Recognition Act (section 18 TTMR Act), should contain the following:

- completed the user licence application form (including supporting documentation)
- appropriate user licence application fee, and
- statutory declaration

Forms and fees can be found on the EPA radiation website.

Applicants should forward their application to:
Manager Hazardous Materials, Chemicals and Radiation
NSW Environment Protection Authority
PO Box A290
SYDNEY SOUTH NSW 1232

### 12. The EPA must act on an application within a month

If the EPA receives an application under section 19 of the Mutual Recognition Act (section 18 TTMR Act), it must act on the application within one month [section 21 (section 20 TTMR Act)] and may either grant the application [section 21 section 20

TTMR Act)] or postpone the application [section 22 (section 21 TTMR Act)] or refuse the application [section 23 (section 22 TTMR Act)].

### 13. Registration and the imposition of conditions

If the EPA grants an application, the registration takes effect from the date the notice was lodged [section 21 (2) (section 20 (2) TTMR Act)]. Under section 20 (5) of the Mutual Recognition Act (section 19 (5) TTMR Act), a local registration authority may impose conditions on registration, but may not impose conditions that are more onerous than conditions it could impose in similar circumstances (having regard to relevant qualifications and experience) if the applicant were applying apart from the Act, unless they are conditions that apply to the person's registration in the first jurisdiction or that are necessary to achieve equivalence of occupations.

This means that if the EPA were to decide to grant registration under the mutual recognition legislation, by licensing the applicant to use the regulated material, it could attach conditions to the licence. However, the EPA could not impose conditions more onerous than the conditions it could attach to a licence if the applicant had applied under the normal mechanisms of the Radiation Control Act, unless they are conditions that apply to the person's registration in the first jurisdiction or are necessary to achieve equivalence of occupations.

#### 14. Postponement of registration

Section 22 (1) of the Mutual Recognition Act (section 21 (1) TTMR Act) states that a local registration authority may postpone the grant of registration, if:

- (a) any of the statements or information in the notice as required by section 19 (section 18 TTMR Act) are materially false or misleading; or
- (b) any document or information as required by section 19 (3) (section 18 (3) TTMR Act) has not been provided or is materially false or misleading; or
- (c) the circumstances of the person lodging the notice have materially changed since the date of the notice or the date it was lodged; or
- (d) the authority decides that the occupation in which registration is sought is not an equivalent occupation.

Section 22 (3) of the Mutual Recognition Act (section 21 (3) TTMR Act) states that a local registration authority may not postpone the grant of registration for longer than six months.

#### 15. Refusal of registration

Under section 23 of the Mutual Recognition Act (section 22 TTMR Act), a local registration authority may refuse the grant of registration if:

- (a) any of the statements or information in the notice as required by section 19 (section 18 TTMR Act) are materially false or misleading; or
- (b) any document or information as required by section 19 (3) (section 19 (3) TTMR Act) has not been provided or is materially false or misleading; or

(c) the authority decides that the occupation in which registration is sought is not an equivalent occupation and equivalence cannot be achieved by the imposition of conditions.

Under section 23 (2) of the Mutual Recognition Act (section 22 (2) TTMR Act), a decision to refuse registration on the ground that the occupation in which registration is sought is not an equivalent occupation takes effect at the end of a specified period (not less than two weeks) after the person is notified of the decision, unless it has been previously revoked or there is an application for review to the Administrative Appeals Tribunal. The Tribunal may make whatever orders it considers appropriate.

#### 16. Notification of decision

A local registration authority must give the person, who lodges a notice in accordance with section 19 of the Mutual Recognition Act (section 18 TTMR Act), a notice in writing of its decision to grant registration (either conditionally or unconditionally) or postpone registration or refuse registration. [section 24 (section 23 TTMR Act)].

### 17. The EPA may impose fees

The EPA can impose fees in relation to its processing of applications under the Mutual Recognition Act and in relation to the granting and/or renewal of licences. However, those fees may not be greater than the fees the EPA charges in relation to licensing under the Radiation Control Act.

#### 18. Deemed registration

A person who lodges a notice under section 19 of the Mutual Recognition Act (section 18 TTMR Act) with a local registration authority is, pending the grant or refusal of registration, taken to be registered as provided in section 20 of the Mutual Recognition Act (section 19 TTMR Act). [section 25 (1) (section 24 (1) TTMR Act)].

However, section 27 of the Mutual Recognition Act (section 26 TTMR Act) limits the activities that may be carried out by a person who is deemed to be registered under section 25 of that Act (section 24 TTMR Act). While that person is entitled to carry on the occupation in the second jurisdiction, s/he may do so only:

- (a) within the limits conferred by the person's substantive registration in the first jurisdiction; and
- (b) within the limits conferred by the person's deemed registration in the second jurisdiction; and
- (c) subject to any conditions or undertakings applying to the person's registration in the first jurisdiction; and
- (d) subject to any conditions applying to the person's deemed registration. Under section 27(5) of the Mutual Recognition Act (section 26 (5) TTMR Act), a local registration authority may impose conditions on a person's deemed registration in the same way it can impose conditions on substantive registration and subject to the same limitations. (See section 11 above).

Under section 27 (3) of the Mutual Recognition Act (section 26 (3) TTMR Act), a person who has deemed registration:

- (a) may not carry on the occupation in the second jurisdiction without complying with any requirements regarding insurance, fidelity funds, trust accounts and the like that are designed to protect the public, clients, customers or others; and
- (b) is subject to any disciplinary provisions and arrangements that are applicable to persons registered in that jurisdiction; and
- (c) is subject to the laws applying to persons registered in that jurisdiction.

### 19. Imposition of conditions to achieve equivalence

Even if an occupation in NSW is not equivalent to the occupation for which the person is registered in another jurisdiction, it will be deemed to be equivalent if equivalence can be achieved by the imposition of conditions. Accordingly, the authority is entitled under section 29 (2) of the Mutual Recognition Act (section 28 (2) TTMR Act) to impose conditions upon registration to achieve equivalence.

The Administrative Appeals Tribunal, in reviewing a decision of a local registration authority (see 'Appeal Rights' below), may, in certain specified circumstances, make a declaration under s 31 (2) of the Mutual Recognition Act (section 30 (2) TTMR Act) that occupations carried on in two jurisdictions are not equivalent.

### 20. Declaration by Ministers on equivalence

Under section 32 (1) of the Mutual Recognition Act (section 31 (1) TTMR Act), Ministers from each of two jurisdictions may jointly declare that specified occupation are equivalent and may specify or describe conditions that will achieve equivalence. Such a declaration has effect only in the two jurisdictions concerned.

#### 21. Appeal rights – review of decisions

Section 34 (1) of the Mutual Recognition Act (section 33 (1) TTMR Act) provides that, subject to the *Administrative Appeals Tribunal Act 1975* (the AAT Act), application may be made to the Administrative Appeals Tribunal (the Tribunal) for a review of a decision of a local registration authority.

That means that if the EPA makes a decision in its capacity as local registration authority under the mutual recognition legislation, a person affected by that decision may (subject to the AAT Act) apply to the Tribunal for a review of the EPA decision.

The decisions which a person may (subject to the AAT Act) seek to have reviewed by the Tribunal would include:

- i. the postponement of registration by the EPA;
- ii. the refusal of registration by the EPA; or
- iii. the attachment of conditions to registration.

The Tribunal, in reviewing a decision of a local registration authority, may make an order under section 31 (1) of the Mutual Recognition Act (section 30 (1) TTMR Act) that a person who is registered in a particular occupation in a particular jurisdiction is, or is not, entitled to registration in another jurisdiction in a particular occupation, and specify conditions that will achieve equivalence.

# 22. Disciplinary action

Under section 33 of the Mutual Recognition Act (section 32 TTMR Act), if a person's registration in one jurisdiction is cancelled, suspended or subjected to conditions on disciplinary grounds or in anticipation of criminal, civil or disciplinary proceedings, then the person's registration in another jurisdiction is affected in the same way.

This means, among other things, that:

- (a) if a person's licence to use regulated material in another jurisdiction is cancelled or suspended, then, if the EPA has granted that person a licence to use those items in NSW, that licence will also be automatically cancelled or suspended; and
- (b) if the EPA cancels or suspends a person's licence, then, if that person holds a licence in another jurisdiction, that licence will automatically be cancelled or suspended.

### 23. Exemptions

The Mutual Recognition Act provides for certain goods and laws relating to goods to be permanently exempt from the arrangements. These exemptions do not currently impact on the EPA responsibilities in relation to licensing under the Radiation Control Act. There are no other exemptions under this Act.

The Trans-Tasman Mutual Recognition Act provides for a broader range of exemptions. These include the permanent exemption of certain laws relating to goods and the temporary exemption of certain laws relating to goods. There are currently no exemptions under these sections that impact on the EPA licensing responsibilities under the Radiation Control Act. In addition, the Trans-Tasman Mutual Recognition Act provides for the special exemption of certain laws. These special exemptions are renewable.

The Trans-Tasman Mutual Recognition Act also provides for the exemption of certain laws relating to occupations. These exemptions no longer include the *Medical Practice Act 1992* (NSW) as this Act was repealed in 2009 and replaced with the *Health Practitioner Regulation National Law*.

Under pre-existing arrangements between the Australian Medical Council and the New Zealand Medical Council, most New Zealand medical qualifications are accredited by the Australian Medical Council. As a result, medical practitioners trained in New Zealand are eligible for registration under the *Health Practitioner Regulation National Law*. Similar arrangements apply to Australian-trained medical practitioners seeking registration in New Zealand. This means that New Zealand Health Practitioners do not need to rely on the TTMR Act for registration in Australia. The TTMR Act continues to apply in relation to radiation user licensing.

The Trans-Tasman Mutual Recognition Act also recognises that registration from several local registration authorities may be required to carry on an occupation in another jurisdiction (see section 6). The requirement that a practitioner be registered under both the Radiation Control Act and the *Health Practitioner Regulation National Law* is not inconsistent with the requirements of the Trans-Tasman mutual recognition scheme.

# 24. Privacy of personal information

Schedule 5 of the Trans-Tasman Mutual Recognition Act outlines the privacy principles that should be followed by a local registration authority when dealing with applications under the mutual recognition arrangements. Although these principles were not enforceable under the Act, the introduction of the NSW

Privacy and Personal Information Protection Act 1998 now places an obligation on the EPA staff to adhere to privacy principles. In particular, attention is drawn to the Information Protection Principles set out in Part 2 of the Privacy and Personal Information Protection Act 1998. Those principles deal with the use, disclosure and storage of personal information collected by public sector agencies.

#### 25. Enquiries

If a person wishes to apply to the EPA under the Mutual Recognition Act or the Trans-Tasman Mutual Recognition Act for a licence to use regulated material or Consulting Radiation Expert accreditation and requires more information, s/he should write to:

Manager Hazardous Materials, Chemicals and Radiation NSW Environment Protection Authority PO Box A290 SYDNEY SOUTH NSW 1232